

Docket No.: 515-4167

Not entered #3
520 Rec'd PCT/PTO 08 NOV 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATION

#4

In re Application of:

Raffaello Giorgi et al.

Serial No.: 09/355,210

Filed: July 23, 1999

For: MONOCYCLIC COMPOUNDS WITH FOUR BIFUNCTIONAL
RESIDUES HAVING NK-2 ANTAGONIST ACTION

)
)
) Group Art Unit: --

) Examiner: --

RECEIVED

23 NOV 1999

Legal Staff
International Division

New York, NY 10036
November 8, 1999

Box PCT
Assistant Commissioner for Patents
Washington, DC 20231

LETTER TRANSMITTING DECLARATIONS

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. §371 (copy enclosed), dated August 19, 1999, in the above-identified application, enclosed herewith is the Declaration; the Added Page to Combined Declaration and Power of Attorney For Signing By Administration(TRIX), Executor(TRIX) or Legal Representative on Behalf of Deceased or Incapacitated Inventor; the documents having been signed by the heirs of the deceased inventors and by the other designated inventors; the Declaration issued by the proper authorities stating the names of the heirs, with the verified English translation, a check in the amount of \$130.00 to cover the late surcharge fee; a Petition for

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
"Express Mail" Mailing Label # EL401792681US
Date of Deposit November 8, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service by "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to
Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

James V. Costigan, Registration No. 25,669
(Typed or printed name of person mailing)

(Signature of person mailing paper or fee)

11/12/1999 PVOLPE 00000165 09355210

01 FC:116
02 FC:154

380.00 OP
130.00 OP

an Extension of Time; and a check for \$110.00 to cover the extension fee.

Please make the Declarations and attachements of record in the present application.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1540. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



James V. Costigan
Registration No. 25,669

MAILING ADDRESS:

HEDMAN, GIBSON & COSTIGAN, P.C.
1185 Avenue of the Americas
New York, NY 10036-2646
(212) 302-8989



Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO. 07/355,210	FIRST NAMED APPLICANT GIORGI	ATTY. DOCKET NO. SIS-4167
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JAMES V COSTIGAN
HEDMAN GIBSON & COSTIGAN
1185 AVENUE OF THE AMERICAS
SUITE 2003
NEW YORK NY 10036-2646

5071

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DOCKET DEPT.

AUG 23 1999

INTERNATIONAL APPLICATION NO. PCT/EP98/00599

I.A. FILING DATE 02/04/98	PRIORITY DATE 02/07/97
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DATE MAILED: 08/19/99

H. G. & C.

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed _____ and _____.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☐ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Lamont Hunter
National Stage Processing

Telephone: (703) 305-3686

CASE 515-4167
DUE DATE September 12, 1999
STATUTORY January 19, 2000
BY [Signature]